

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **DIC INDIA LIMITED**
 2. Quarter ending - **31-DEC-2020**

i. Composition Of Board Of Director

T i t l e (M r . / M s)	Name of the Dir ect or	D I N	P A N	Cat eg ory (Ch air pers on / Exe cut iv e/ No n- Exe cut iv e/ Ind ep end ent/ Nom inee)	S u b C at eg o r y	Ini tia l D at e of A p p o i n t m e n t	Dat e of App oint m e n t	Dat e of ces sat ion	T e n u r e	D at e of Bir th	Wh eth er spe cial res olut ion pas sed ?	D at e of p a ss in g s p e ci al res ol ut ion	N o. of Di re ct or s h i p in lis te d en t it ies in clu ding this lis te d en t ity	No of Ind ep end ent Dir ect or ship in lis te d en t it ies in clu ding this lis te d en t ity	No of me m bers hips in Au dit/ Sta keh old er Com mit tee(s) in clu ding this lis te d en t ity	No of po st of Ch air pers on in Au dit/ St ak eh old er Com mit tee held in lis te d en t it ies in clu ding this lis te d en t ity	Me m bers hip in Com mit tees of the Com pany	Rem arks
M r.	DI PA K KU M AR BA	0 0 2 8 1 2	A E S P B 2 7	ID,C & NED		08- Ma r- 200 1	22- Mar- 2018		3 6	1 9- Feb - 1 9	Yes	0 6- Nov- 2 0	4	4	4	0	SC	

	NE RJ EE	3 7 6 A						4 6		2 0							
M r.	PA RT HA MI TR A	0 0 3 5 2 2 0 4 5 1 4 R	A H Y P M	ID	08- Feb - 201 7	24- Mar- 2020		3 6	0 1- N o v- 1 9 5 1	NA		1	1	2	2	AC,SC ,NRC	
M s.	RE EN A SE N	0 7 0 8 2 1 9 8 3 1 P	A K P S 4 3 3 1 P	ID	04- Feb - 201 5	22- Mar- 2018		3 6	1 2- Ju l- 1 9 5 3	NA		1	1	1	0	AC,N RC	
M r.	RA JE EV AN AN D	0 2 5 1 9 8 7 6 4 3 6 L	A A C P A 2 4 3 6 L	ID	06- No v- 202 0	06- Nov- 2020		3 6	2 3- M ay - 1 9 6 0	NA		2	1	1	0		
M s.	PRI TH A DU TT	0 2 9 1 0 6 0 1 8 0 4 H	A B T P C 1 1 0 4 H	ID	06- No v- 202 0	06- Nov- 2020		3 6	0 1- Ju n- 1 9 6 4	NA		1	1	0	0		
M r.	PR AB AL KU M AR SA	0 3 1 2 4 7 1	A S F P S 5 5	ID	06- No v- 202 0	06- Nov- 2020		3 6	1 2- Ju l- 1 9 5	NA		1	1	0	0		

	KA R	2 2 4 G							7								
M r.	PA UL KO EK	0 0 8 1 9 3 0 9 9 Z	Z Z Z Z Z Z Z Z Z Z	NED		29- Jun - 200 4	22- Mar- 2018			1 4- Ju n- 1 9 9 6 0	NA		1 0	2 0		AC,SC ,NRC	Non- Resi dent Direc tor
M r.	M AS AH IR O KI KU CH I	0 8 0 2 4 5 2 5	G N JP K 1 7 3 2 B	NED		06- Feb - 201 8	12- Jun- 2020			2 2- M ar - 1 9 6 2	NA		1 0	0 0		NRC	
M r.	HO YE U GU AN	0 8 0 6 6 1 3 6 9 9 Z	Z Z Z Z Z 9 9 9 Z	NED		06- Feb - 201 8	20- Mar- 2019			2 9- Ja n- 1 9 5 8	NA		1 0	0 0			Non- Resi dent Direc to
M r.	M AN IS H BH AT IA	0 8 3 1 0 9 3 6 6 7 H	A C F P B 0 6 2 7 H	ED	C E O - M D	30- Jan - 201 9			3 6	1 2- S ep - 1 9 7 1	NA		1 0	0 0			
M r.	TA IS HI NO JI M A	0 8 4 0 1 0 1 1 2	B V X P N 1 5 8	ED		26- Apr - 201 9			3 2	2 0- Ja n- 1 9 6 5	NA		1 0	0 0			

			0																
			R																

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	PARTHA MITRA	ID	Chairperson	24-Mar-2017	
2	REENA SEN	ID	Member	09-Dec-2019	
3	PAUL KOEK	NED	Member	22-Mar-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	PARTHA MITRA	ID	Chairperson	09-Dec-2019	
2	DIPAK KUMAR BANERJEE	ID,C & NED	Member	22-Mar-2018	
3	PAUL KOEK	NED	Member	22-Mar-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	REENA SEN	ID	Chairperson	09-Dec-2019	
2	PARTHA MITRA	ID	Member	09-Dec-2019	
3	PAUL KOEK	NED	Member	22-Mar-2019	
4	MASAHIRO KIKUCHI	NED	Member	01-Feb-2020	

Company Remarks	
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Whether Permanent chairperson appointed	Yes
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iii. **Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
07-Aug-2020	06-Nov-2020	Yes	11	6

Company Remarks	
Maximum gap between any two consecutive (in number of days)	90

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	06-Aug-2020		Yes	3	2
Audit Committee		05-Nov-2020	Yes	3	2
Nomination & Remuneration Committee	06-Aug-2020		Yes	4	2
Nomination & Remuneration Committee		05-Nov-2020	Yes	4	2
Stakeholders Relationship Committee		21-Dec-2020	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	90

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material	
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related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

%affirmComments%

Name : **RAGHAV SHUKLA**
Designation : **COMPANY SECRETARY & COMPLIANCE OFFICER**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		http://www.dicindia1td.c
Terms and conditions of appointment of	Yes		https://www.dicindia1td.
Composition of various committees of	Yes		https://www.dicindia1td.
Code of conduct of board of directors and	Yes		http://www.dicindia1td.c
Details of establishment of vigil mechanism/	Yes		http://www.dicindia1td.c
Criteria of making payments to non-	Yes		https://www.dicindia1td.
Policy on dealing with related party	Yes		http://www.dicindia1td.c
Policy for determining 'material' subsidiaries	Not		
Details of familiarization programs imparted	Yes		https://www.dicindia1td.
Email address for grievance redressal and other relevant details entity who are	Yes		http://www.dicindia1td.c
Contact information of the designated	Yes		http://www.dicindia1td.c
Financial results	Yes		http://www.dicindia1td.c
Shareholding pattern	Yes		http://www.dicindia1td.c
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed	Not Applicable		
New name and the old name of the listed	Not		
Advertisements as per regulation 47 (1)	Yes		http://www.dicindia1td.c
Credit rating or revision in credit rating	Yes		http://www.dicindia1td.c
Separate audited financial statements of	Not		
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		http://www.dicindia1td.c
Materiality Policy as per Regulation 30	Yes		http://www.dicindia1td.c
Dividend Distribution policy as per	Not		
It is certified that these contents on the	Yes		http://www.dicindia1td.c
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	

<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Not Applicable	
<i>Meeting of Risk Management Committee</i>	22	Not Applicable	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of Annual Secretarial Compliance Report</i>	24(2),(3),(4),(5) & (6)	Not Applicable	
<i>Alternate Director to Independent Director</i>	24(A)	Yes	
<i>Maximum Tenure</i>	25(1)	Not Applicable	
<i>Meeting of independent directors</i>	25(2)	Yes	
<i>Familiarization of independent directors</i>	25(3) & (4)	Yes	
<i>Declaration from Independent Director</i>	25(7)	Yes	
<i>D & O Insurance for Independent</i>	25(8) & (9)	Yes	
<i>Memberships in Committees</i>	25(10)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(1)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(3)	Yes	
	26(4)	Yes	

<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	
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Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : **RAGHAV SHUKLA**
Designation : **Company Secretary & Compliance Officer**